



**MURANG'A UNIVERSITY COLLEGE**

(A Constituent College of Jomo Kenyatta University of Agriculture and Technology)

**SCHOOL OF BUSINESS AND ECONOMICS**

**DEPARTMENT OF COMMERCE**

**MAIN CAMPUS**

**UNIVERSITY EXAMINATION**

**ORDINARY**

**2015/2016 ACADEMIC YEAR**

**YEAR ONE SEMESTER ONE EXAMINATIONS**

**DIPLOMA IN BUSINESS MANAGEMENT & CERTIFICATE IN BUSINESS MANAGEMENT (DBM & CBM)**

**COURSE CODE: DBA111**

**COURSE TITLE: INTRODUCTION TO BUSINESS MARKING SCHEME**

**DATE:**

**TIME:**

**INSTRUCTIONS TO THE CANDIDATES**

**THIS PAPER CONSIST OF FOUR QUESTIONS**

Question one (1) is Compulsory

Answer Any Other Two (2) Questions

MRUC observes ZERO tolerance to examination irregularities

**QUESTION TWO:**

a)

- 1) Regulate, and develop the Nigerian Capital Market.
- (2) Regulate the registration of securities market operation and trading places.
- (3) Stipulate the rules and regulations guiding all market operators.
- (4) Enlighten the public of the role of the Capital Market.
- (5) Determine the amount, and timing of the sale of shares to the public.
- (6) Register all securities proposed to be offered for sale or for subscription by the public.
- (7) Monitor, and carry out surveillance of the market to ensure order, fairness, and equitable trading activities.
- (8) Register Stock Exchanges and their branches.
- (9) Register all the participants in the market to ensure standards of conduct and professionalism Insecurities business.
- (10) Act as regulatory apex organization and in exercise of its powers can delegate some powers to the Nigerian Stock Exchange (NSE).
- (11) Protect the market against all forms of abuses arising from the practice of insider information.
- (12) Create the necessary atmosphere for orderly growth and development of the Capital Market.